



Following an information request from HSBC for the Common Reporting Standard ("CRS") in relation to an account holder ("Account Holder"), if you sign a CRS self-certification form and/or provide the requested information to HSBC either via phone or electronically, then you are required to read and acknowledge the following CRS Declaration Statement -

You understand that the information supplied by yourself is covered by the full provisions of the terms and conditions governing the Account Holder's relationship with HSBC setting out how HSBC may use and share the information supplied by you.

You acknowledge that the information contained in the form, verbally or electronically and information regarding the Account Holder and any Reportable Account(s) may be provided to the tax authorities of the Country/Jurisdiction in which the account(s) is/are maintained and exchanged with tax authorities of another Country/Jurisdiction or Countries/Jurisdictions in which the Account Holder may be tax resident pursuant to intergovernmental agreements to exchange financial account information.

You certify that you are the Account Holder (or are authorised to sign for the Account Holder) of all the account(s) to which this request relates.

You certify that where you have provided information regarding any other person (such as a Controlling Person or other Reportable Person to which this form relates) that you will, within 30 days of signing the CRS form or providing this information, notify those persons that you have provided such information to HSBC and that such information may be provided to the tax authorities of the Country/Jurisdiction in which the account(s) is/are maintained and exchanged with tax authorities of another Country/Jurisdiction or Countries/Jurisdictions in which the person may be tax resident pursuant to intergovernmental agreements to exchange financial account information.

You declare that all statements made in this declaration are, to the best of your knowledge and belief, correct and complete.

You undertake to advise HSBC within 30 days of any change in circumstances which affects the tax residency status of the Account Holder or causes the information contained herein to become incorrect, and to provide HSBC with a suitably updated self-certification and Declaration within 90 days of such change in circumstances.

(*a Controlling Person is defined as a person, other than a Financial Institution, holding a Financial Account for the benefit of another person as an agent, a custodian, a nominee, a signatory, an investment advisor, an intermediary, or as a legal guardian; A Reportable Person is defined as an individual who is tax resident in a reportable jurisdiction (i.e. a jurisdiction with which an obligation to provide financial account information is in place) under the tax laws of that jurisdiction. Dual resident individuals may rely on the tiebreaker rules contained in tax conventions (if applicable) to solve cases of double residence for purposes of determining their residence for tax purposes. You undertake to advise HSBC within 30 days of any change in circumstances which affects the tax residency status of the Account Holder identified in Part 1 of this form or causes the information contained in this form to become incorrect, and to provide HSBC a suitably updated self-certification and declaration within 90 days of such change in circumstances. If you fail to do so, you understand that pursuant to Retail Banking and Wealth Management Terms and Conditions, HSBC can suspend or close our accounts).

For further information regarding CRS (including overview, physical forms, definitions and /or frequently ask questions), please refer to our Global HSBC website: <http://www.crs.hsbc.com/en/rbwm/new-zealand> or the IRD website: <http://www.ird.govt.nz/international/>