

Account Name																																																										
Accounts covered by this authority	<table border="1"> <tr> <td>3</td><td>0</td><td>2</td><td>9</td><td></td><td></td> <td>-</td><td>0</td><td></td><td></td><td></td><td></td><td></td><td></td><td></td> <td>-</td><td></td><td></td><td></td> </tr> <tr> <td>3</td><td>0</td><td>2</td><td>9</td><td></td><td></td> <td>-</td><td>0</td><td></td><td></td><td></td><td></td><td></td><td></td><td></td> <td>-</td><td></td><td></td><td></td> </tr> <tr> <td>3</td><td>0</td><td>2</td><td>9</td><td></td><td></td> <td>-</td><td>0</td><td></td><td></td><td></td><td></td><td></td><td></td><td></td> <td>-</td><td></td><td></td><td></td> </tr> </table>	3	0	2	9			-	0								-				3	0	2	9			-	0								-				3	0	2	9			-	0								-			
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I/We,

Names of account holders in full

A

B

- Appoint the following person as an authorised signatory to operate my/our accounts listed above in accordance with my/our account operating authority and subject to the following acknowledgements.
- Acknowledge that:
  - any operation on my/our account(s) in accordance with this authority shall bind me/us jointly and severally to HSBC.
  - this authority shall remain in force until I/we have expressly revoked it by notice in writing and delivered to HSBC.
  - HSBC shall not be obliged to enquire into the circumstances of any instructions given to it in accordance with this authority.
  - this authority only applies to the operation of the account(s) listed above in accordance with the Wealth and Personal Banking Terms & Conditions and does not extend to the closing of the account(s).
  - this authority does not extend to the opening of additional accounts, closing of existing accounts or reactivation of existing dormant / unclaimed accounts.

**Person given authority to operate**

Name (in full)

Will sign as

**Important:** Ensure the additional signatory to the account completes the Additional Signatory KYC Form.

Internet Banking Access: Yes  No

*Internet Banking Access is only available when sole authority is given to an individual*

Account Holder Signature (A)

Full name
Official designation:
Signature:
Date:

Account Holder Signature (B)

Full name
Official designation:
Signature:
Date:

**Important:** Ensure all account holders sign the Authority to Operate, even if 'one to sign' authority applies to the account.

**Bank use only**

Full name
Official designation:
Signature:
Date:

One to sign: Yes  No

As a minimum, the following information must be obtained from the additional signatory:

Full name	
Relationship to the customer	
Date of Birth	
Gender	
Home phone number	
Work phone number	
Mobile number	
Residential address (not P.O. Box)	
Nationality	
Multiple nationalities/citizenships No <input type="checkbox"/> Yes <input type="checkbox"/> <i>If Yes please provide name(s) of other Nationalities/Citizenships</i>	
Occupation	
Job Title	
Email address (if available)	
Employer's name	
Employer's address	
If you are a business owner or self employed, nature of business?	
Primary Source of Income	Salary <input type="checkbox"/> Superannuation <input type="checkbox"/> Investment <input type="checkbox"/> Dependent <input type="checkbox"/> Other (please specify) <input type="checkbox"/> Drawings <input type="checkbox"/> Payments <input type="checkbox"/> Income <input type="checkbox"/> on Family Members <input type="checkbox"/>
Types of Expected Account Activity	Telegraphic <input type="checkbox"/> Cash <input type="checkbox"/> Cash <input type="checkbox"/> Transfer <input type="checkbox"/> Transfer in/out <input type="checkbox"/> Deposit <input type="checkbox"/> Withdrawals <input type="checkbox"/> in/out <input type="checkbox"/>

## Important Information

- a) confirm that I have been given a copy of the Wealth and Personal Banking Terms & Conditions and agree that these terms and conditions will continue to apply to the operation of the account(s);
- b) acknowledge that my personal information may be collected, used, stored and disclosed by HSBC (including its related companies in other countries/jurisdictions?) and other specified third parties for the purposes and upon the terms set out in the Privacy Act 2020/ Personal Information section of the Wealth and Personal Banking Terms & Conditions;
- c) acknowledge that I have the right to access and correct personal information collected subject to the provisions of the Privacy Act 2020.

## Additional Signatory

Full name
Official designation:
Signature:
Date:

**Important:** Ensure the additional signatory to the account completes the Authority to Operate (or Mandate as appropriate).

## Bank Use Only

Full name
Official designation:
Signature:
Date:

Additional Signatory Checklist	This application completed <input type="checkbox"/>	Passport Identification <input type="checkbox"/>	Proof of address* <input type="checkbox"/>
	Proof of Identity Form (if applicable) <input type="checkbox"/>	Power of Attorney (if applicable) <input type="checkbox"/>	Client Screening Completed <input type="checkbox"/>

\*Verification must be less than three months old and confirm signatory's residential address.

## Appendix

### IMPORTANT INFORMATION REGARDING FATCA

- The Foreign Account Tax Compliance Act (“FATCA”) is a piece of legislation to help counter tax evasion in the United States (“US”). The purpose of FATCA is to prevent US persons, typically US citizens, residents or businesses, from using banks and other financial organisations to avoid US taxation on their income and assets. Under this US legislation, banks and other financial organisations globally, including HSBC, are required by US law to report information on accounts they hold in the name of their customers who are US Persons.
- The New Zealand government has signed an inter-governmental agreement with the US government in respect of FATCA. The principles of FATCA have been brought in to our local law. This means that HSBC may need to provide information on US accounts to the New Zealand Inland Revenue Department (“IRD”).
- To be compliant with FATCA, customers may be required to provide additional documentation in addition to those currently required for regulatory purposes. Additional documentation may also be required if applicants have US connections, for example, US residency/registration, passport or an address/telephone number in the US.
- Please refer to the table below for a full list of US connections that could classify a customer as a US Person and also the documents that customers may need to provide. Please note that the list is not exhaustive and it is possible that HSBC may require documents other than those mentioned.
- For further general information on FATCA please visit the IRS website: [www.irs.gov/fatca](http://www.irs.gov/fatca). Please also visit the HSBC website: <https://www.fatca.hsbc.com/en/rbwm/new-zealand> for a summary of frequently asked questions, links to forms and other useful information regarding FATCA.
- For any detailed queries on the FATCA legislation and what it may mean for you, please consult a professional tax advisor as HSBC are unable to provide you with specific tax advice.

### LIST OF US CONNECTIONS

US Residency /Citizenship / Incorporation / Registration	Standing Instructions to the US
US Country/Region of birth	US In Care Of / Hold Mail
US Residential/Correspondence Address	US Power Of Attorney address
US Phone Numbers	

Document Name	Detailed description
IRS Form W-9	This is the ‘Request for Tax Payer Identification Number and Certification’ form, but commonly referred to as a W-9. This form is completed to confirm US tax status.  W-9 forms are available from the IRS website <a href="http://www.irs.gov/Forms-&amp;-Pubs">www.irs.gov/Forms-&amp;-Pubs</a>
IRS W-8 Series Forms	his is a series of forms that are completed to confirm non US tax status.  W-8 Series forms are available from the IRS website <a href="http://www.irs.gov/Forms-&amp;-Pubs">www.irs.gov/Forms-&amp;-Pubs</a>
Government Issued ID	A form of government issued ID that can be used to confirm that you are a citizen of a country/region other than the US. Acceptable form of government issued ID is a current passport.
Explanation of Non-US Citizenship	A ‘Certificate of Loss of Nationality of the United States’ (“CLN”) proves that you are no longer a US citizen.  CLNs are applied for and issued at a US consulate or embassy and document renunciation or relinquishment of United States citizenship.
Documentary Evidence of Non-US Status	You must provide any one of the following documents to confirm that your permanent residential address or country/region of residency or citizenship is not the US or a US territory: <ul style="list-style-type: none"> <li>• Certificate of residence</li> <li>• Tax assessment notice</li> <li>• National ID card</li> <li>• Valid identification issued and authorised by a government body, that is typically used for identification purposes and includes your residential address. Examples include driver’s licence and utility bill.</li> </ul>
HSBC Declaration (for Non Personal customers only)	This is an HSBC form which you may be able to sign to confirm a tax status other than US, in place of one of the W-8 Series forms. Please read the form carefully to ensure you meet the relevant conditions. If you are not satisfied that you meet the relevant conditions, then please alternatively select from the available US tax forms (W forms). The HSBC Declaration can be downloaded from <a href="https://www.fatca.hsbc.com/en/rbwm/new-zealand">https://www.fatca.hsbc.com/en/rbwm/new-zealand</a>