

Customer's name(s) *(as stated in existing accounts)*

Customer number

## CUSTOMER DETAILS – THIS SECTION IS MANDATORY

### Customer 1

Title	First name(s)
<input type="text"/>	<input type="text"/>
Family name	Date of Birth <i>DD/MM/YY</i>
<input type="text"/>	<input type="text"/> / <input type="text"/> / <input type="text"/>
Former/other names	
<input type="text"/>	
Nationality	
<input type="text"/>	
Identification: Passport No.	
<input type="text"/>	
Date of issue <i>DD/MM/YY</i>	Date of expiry <i>DD/MM/YY</i>
<input type="text"/> / <input type="text"/> / <input type="text"/>	<input type="text"/> / <input type="text"/> / <input type="text"/>
Country of birth	
<input type="text"/>	
Multiple nationalities	
No <input type="checkbox"/> Yes <input type="checkbox"/> <i>If Yes please provide name(s) of other Nationalities</i>	

### Residential address *(where you live)*

<input type="text"/>
<input type="text"/> <i>Postcode</i>
<input type="text"/> <i>Country (if not New Zealand)</i>
The above has been my address since <i>DD/MM/YY</i>
<input type="text"/> / <input type="text"/> / <input type="text"/>

If at current address for less than 3 years please provide details of your previous residential address

<input type="text"/>
<input type="text"/> <i>Postcode</i>
<input type="text"/> <i>Country (if not New Zealand)</i>

### Permanent address *(complete if your principal residence is different to the residential address above)*

<input type="text"/>
<input type="text"/> <i>Postcode</i>
<input type="text"/> <i>Country (if not New Zealand)</i>

### Correspondence address – Write 'as above' if the same as your residential address

<input type="text"/>
<input type="text"/> <i>Postcode</i>
<input type="text"/> <i>Country (if not New Zealand)</i>

Home phone number	Work phone number
<input type="text"/>	<input type="text"/>
Fax number	Mobile phone number
<input type="text"/>	<input type="text"/>
Email address	
<input type="text"/>	

### Customer 2

Title	First name(s)
<input type="text"/>	<input type="text"/>
Family name	Date of Birth <i>DD/MM/YY</i>
<input type="text"/>	<input type="text"/> / <input type="text"/> / <input type="text"/>
Former/other names	
<input type="text"/>	
Nationality	
<input type="text"/>	
Identification: Passport No.	
<input type="text"/>	
Date of issue <i>DD/MM/YY</i>	Date of expiry <i>DD/MM/YY</i>
<input type="text"/> / <input type="text"/> / <input type="text"/>	<input type="text"/> / <input type="text"/> / <input type="text"/>
Country of birth	
<input type="text"/>	
Multiple nationalities	
No <input type="checkbox"/> Yes <input type="checkbox"/> <i>If Yes please provide name(s) of other Nationalities</i>	

### Residential address *(where you live)*

<input type="text"/>
<input type="text"/> <i>Postcode</i>
<input type="text"/> <i>Country (if not New Zealand)</i>
The above has been my address since <i>DD/MM/YY</i>
<input type="text"/> / <input type="text"/> / <input type="text"/>

If at current address for less than 3 years please provide details of your previous residential address

<input type="text"/>
<input type="text"/> <i>Postcode</i>
<input type="text"/> <i>Country (if not New Zealand)</i>

### Permanent address *(complete if your principal residence is different to the residential address above)*

<input type="text"/>
<input type="text"/> <i>Postcode</i>
<input type="text"/> <i>Country (if not New Zealand)</i>

### Correspondence address – Write 'as above' if the same as your residential address

<input type="text"/>
<input type="text"/> <i>Postcode</i>
<input type="text"/> <i>Country (if not New Zealand)</i>

Home phone number	Work phone number
<input type="text"/>	<input type="text"/>
Fax number	Mobile phone number
<input type="text"/>	<input type="text"/>
Email address	
<input type="text"/>	

## CUSTOMER DETAILS – CONTINUED

### Customer 1

Are you self-employed or a business owner? No ☐ Yes ☐

If yes – details of business activity

Occupation

Job title



Employer's name

Length of employment there    years                       months

### Customer 2

Are you self-employed or a business owner? No ☐ Yes ☐

If yes – details of business activity

Occupation

Job title



Employer's name

Length of employment there    years                       months

## EXPECTED ACCOUNT ACTIVITY

Primary source of income

Salary/  
drawings ☐

Superannuation  
payments ☐

Investment  
income ☐

Dependent on  
family members ☐

Other (Please specify)

Types of Expected  
Account Activity

Local Payments ☐

International Payments ☐

Cheque/Cash Transactions ☐

Other (please specify)

Standing Instructions ☐

If yes, which country(ies) will the Standing Instructions be sent to?

## ACCOUNT SERVICING

### PLEASE COMPLETE THE FOLLOWING SECTIONS AS PER YOUR REQUIREMENTS

Services required for

☐ Customer 1

☐ Customer 2

Individual customer number

or

Individual customer number

or

Full name

Full name

## ATM CARDS

☐ New Card

Account Number(s) to be Accessed (NZD account only)



☐ Replacement Card

Reason for replacement

☐ Card expired

☐ Card damaged/bent

☐ Card does not work

☐ Card Lost/Stolen (call 0800 80 23 80 to cancel)

Details of Card to be replaced (if known, else please contact HSBC for this information)

HSBC Card (VPLUS)

Issue No.

HSBC Card (CIRRUS)

Issue No.

- To report lost or stolen ATM Cards, please contact our Direct Service Centre on 0800 80 23 80.

☐ Replacement PIN (Non Premier Only)

### AUTOMATED BANKING

☐ Internet Banking ☐ Phone Banking

☐ Access Requested ☐ Reset PIN ☐ Cancel Access

Customer profile(s) to be accessed

☐ Online Security Device

: : - : : : : : : :

: : - : : : : : : :

- Internet Banking, Telephone Banking and ATM access are not available if more than one signatory is required.

### CHEQUE BOOKS

☐ Supply cheque book on account number

☐ 25 leaf

☐ 100 leaf

☐ Bearer

☐ Non Transferable

☐ Supply deposit book on account number

☐ Cancel cheque book facility on account number

: : - : : : : - : : : : : : - : : :

: : - : : : : - : : : : : : - : : :

: : - : : : : - : : : : : : - : : :

### CHANGE OF RESIDENCY/NOTIFICATION OF IRD NUMBER

Amend Residency to ☐ New Zealand (Please provide IRD Number below)

IRD Number

Which tax rate applies to your deposits?

☐ 10.5% ☐ 17.5% ☐ 30% ☐ 33%

Amend Residency to ☐ Other \_\_\_\_\_

If now a 'non resident' which tax application have you elected?

Approved Issuer Levy\* ☐ or Non Resident Withholding Tax ☐

\*Customers who are not New Zealand tax residents will have Non Resident Withholding Tax (\*NRWT\*) deducted from interest payments. The rate deducted will be determined by the country the customer is a tax resident of as determined by New Zealand Tax Law. Non Resident customers may also elect to have Approved Issuer Levy (AIL) applied at 2% of interest payments. AIL is not a tax deducted from interest payments but a levy paid by HSBC. If AIL is elected the interest rate received on investment may be reduced by 0.25%.

Amend Residency to ☐ New Zealand (Please provide IRD Number below)

IRD Number

Which tax rate applies to your deposits?

☐ 10.5% ☐ 17.5% ☐ 30% ☐ 33%

Amend Residency to ☐ Other \_\_\_\_\_

If now a 'non resident' which tax application have you elected?

Approved Issuer Levy\* ☐ or Non Resident Withholding Tax ☐

### CHANGE OF STATEMENT CYCLE

☐ Monthly

☐ Quarterly

☐ Six monthly

☐ eStatement

### SIGNATURES

Signature of Customer 1

SV

Signature of Customer 2

SV

### BANK USE ONLY

Signature verified by HSBC staff name

Signature

Date

# Appendix

## Important information regarding FATCA

- The Foreign Account Tax Compliance Act (FATCA) a new piece of legislation to help counter tax evasion in the United States (US). The purpose of FATCA is to prevent US persons, typically US citizens, residents or businesses, from using banks and other financial organisations to avoid US taxation on their income and assets. Under this US legislation, banks and other financial organisations globally, including HSBC New Zealand, will be required by US law to report information on accounts it holds in the name of its customers who are US Persons.
- The New Zealand government is expected to sign an inter-governmental agreement with the US government in respect of FATCA. Once signed, HSBC will be required under local New Zealand law to accurately report this information to the New Zealand Inland Revenue Department.
- To be compliant with FATCA, customers may be required additional documentation in addition to those currently required for regulatory purposes. Additional documentation may also be required if applicants have US connections, for example, US residency, passport or an address / telephone number in the US.
- Please refer to the table below for a full list of US connections that could classify a customer as a US Person and also the documents that customers may need to provide. Please note that the list is not exhaustive and it is possible that HSBC may require documents other than those mentioned.
- For further general information on FATCA please visit the IRS website : <http://www.irs.gov/fatca>. Please also visit the HSBC website : <http://www.hsbc.co.nz/fatca> for a summary of frequently asked questions and other useful information regarding FATCA.
- For any detailed queries on the FATCA legislation and what it may mean for you, please consult a professional tax advisor as HSBC are unable to provide you with tax advice.

## LIST OF US CONNECTIONS

US Residency/Citizenship	Standing Instructions to the US
US Country of Birth	US In Care Of/Hold Mail
US Residential/Correspondence Address & Phone Numbers	US Power Of Attorney address

Document Name	Detailed description
IRS Form W-9	<p>This US Internal Revenue Service form is commonly referred to as a W-9 but is called 'Request for Tax Payer Identification Number and Certification'. It allows a US taxpayer to provide details of their Tax identification number or TIN.</p> <p>W-9 forms are available from the IRS website <a href="http://www.irs.gov/Forms-&amp;-Pubs">http://www.irs.gov/Forms-&amp;-Pubs</a></p>
IRS Form W-8 BEN	<p>This US Internal Revenue Service form is commonly referred to as a W-8 BEN but is called a 'Certificate of Foreign Status of Beneficial Owner for United States Tax Withholding'. It is used to demonstrate a person is 'foreign' and exempt from backup tax withholding.</p> <p>W-8 BEN forms are available from the IRS website <a href="http://www.irs.gov/Forms-&amp;-Pubs">http://www.irs.gov/Forms-&amp;-Pubs</a></p>
Government Issued ID	A form of government issued ID that can be used to confirm that the customer is a citizen of a country other than the US. Acceptable form of government issued ID is a current passport.
Explanation of Non-US Citizenship	<p>A 'Certificate of Loss of Nationality of the United States' (CLN) proves that the customer is no longer a US citizen.</p> <p>CLNs are applied for and issued at a US consulate or embassy and document renunciation or relinquishment of US citizenship.</p>
Written Explanation of US Address/Phone	If customers have a US address and/or US phone number, they must download and complete HSBC's "Explanation of US Address/Phone Number" Form from <a href="http://www.hsbc.co.nz/fatca">www.hsbc.co.nz/fatca</a>
Documentary Evidence of Non-US Status	<p>Customers must provide any one of the following documents to confirm that their permanent residential address or country of residency or citizenship is not the US or a US territory:</p> <ol style="list-style-type: none"> <li>1. Certificate of residence</li> <li>2. Tax assessment notice</li> <li>3. National ID card</li> <li>4. Valid identification issued and authorised by a government body, that is typically used for identification purposes and includes their residential address. Examples include driver's licence and utility bill.</li> </ol>
Written Explanation of US Country of Birth	<p>Customers must provide a written explanation of the fact that they have advised HSBC that their place of birth is the US or a US territory but they are not a US citizen.</p> <p>The explanation, which must be provided in the form of a letter, should provide the country and city / town of birth and the reason they did not receive US citizenship at birth.</p>